



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:) Examiner: Seharaseyon, Jegatheesan
Avi J. ASHKENAZI, et al..) Art Unit: 1647
Application Serial No. 10/020,445) Confirmation No: 9983
Filed: October 24, 2001) Attorney's Docket No. 39780-2630 P1C74
For: **SECRETED AND TRANSMEMBRANE**) **Customer No. 35489**
POLYPEPTIDES AND NUCLEIC ACIDS)
ENCODING THE SAME)

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --


09/23/2004 HVUON61 00000090 081641 10020445

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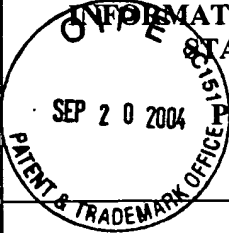
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2630 P1C74).**

Respectfully submitted,

Dated: September 20, 2004

By: 
Anna L. Barry, Ph.D. (Reg. No. 51,436)

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			ATTY. DOCKET NO.:		SERIAL NO.:		
			39780-2630 P1C74		10/020,445		
			APPLICANT : Avi ASHKENAZI, et al.				
FILING DATE: October 24, 2001				GROUP: 1647			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	GenBank, Accession No.: P_AAC58232, WO 200053754-A1, Pub Date: September 14, 2000, Baker, K.P., et al.						
	GenBank, Accession No.: AJ002308.1; Direct Submission; Submitted: October 29, 1997, Kedra, D., et al.						
	GenBank, Accession No.: P_AAC78187, WO 200055350-A1, Pub Date: September 21, 2000, Rosen, C.A., et al.						
	GenBank, Accession No.: P_AAF32743, WO 200077255-A1, Pub Date: December 21, 2000, Rosen, C.A., et al.						
	GenBank, Accession No.: BC000407.2, Direct Submission; Submitted: November 15, 2000, Strausberg, R.						
	GenBank, Accession No.: P_AAC93480, WO 200061626-A1, Pub Date: October 19, 2000, Rosen, C.A., et al.						
	Dayhoff, Accession No.: P_AAB64539, WO 200077255-A1, Pub Date: December 21, 2000, Rosen, C.A., et al.						
	Dayhoff, Accession No.: P_AAB24048, WO 200053754-A1, Pub Date: September 14, 2000, Baker, K.P., et al.						
	Dayhoff, Accession No.: P_AAB44265, WO 200053756-A2, Pub Date: September 14, 2000, Ashkenazi, A.J., et al.						
	Dayhoff, Accession No.: P_AAY41709, WO 9946281-A2, Pub Date: September 16, 1999, Wood, W.I., et al.						
	Dayhoff, Accession No.: NP_004701.1, J. Biol. Chem. 273 (5), pp. 2851-2857, 1998; Janz. R., et al.						
	Dayhoff, Accession No.: CAA05325.1, Proc. Natl. Acad. Sci. USA 99, pp. 16899-16903, 2002, Strausberg, R.L., et al.						
	Dayhoff, Accession No.: P_AAW36516, WO 9741143-A1, Pub Date: November 6, 1997, Hawkins, PR, et al.						
	Dayhoff, Accession No.: P_AAB64540, WO 200077255-A1, Pub Date: December 21, 2000, Rosen, CA, et al.						
	Dayhoff, Accession No.: P_AAB64466, WO 200077255-A1, Pub Date: December 21, 2000, Rosen, CA, et al.						
	Dayhoff, Accession No.: P_AAB43978, WO 200055350-A1, Pub Date: September 21, 2000, Rosen, CA, et al.						
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.